

Action Plan Template – Côte d'Ivoire 2018-2022

Cdi - Framework Language	Company Actions	Target Indicators	# Barry Callebaut financed directly	Risks & Assumptions	Timeline	Implement Directly	Implement via partner	Partner(s)	Comment	
<b>F4A - Forest Protection and Restoration Commitments</b>										
1. There will be no further conversion of any forest land (as defined under national regulations and using methodologies such as High Carbon Stock (HCS) and High Conservation Value (HCV) approach) for cocoa production.	1.1 Conduct farm mapping within direct supply chain to identify and collect cocoa farm boundaries polygon data to ensure cocoa is not being sourced from forest lands, National Parks and Reserves, and Classified Forests	# and % of farms mapped	30,090 100%	Company mapping and subsequent sourcing actions are subject to national regulations that adequately define (map) forest lands, including National Parks and Reserves and Classified Forests, where conversion for cocoa production is prohibited	Dez 19	X	X	Approx 113k direct sourcing + 57k third party suppliers	BC has met the letter and spirit of the moratorium requirements, and gone beyond them.	
	1.2 Conduct deforestation risk assessments in all direct sourcing areas	# hectares included in deforestation risk assessment	60'180		Dez 18	X		direct source	BC uses Risk Asst guidelines: Organisation (co-ops, branches etc) warehouses near National Parks: 5km = 'high risk' 25km = 'possible risk' 25km = 'low risk'... For Farms: 5km from NP = 'at risk'.	
2. Signatory companies and Government commit to the elimination of all cocoa production and sourcing in National Parks and Reserves in line with the promulgation and enforcement of the prevailing national forest policy and the development of alternative livelihoods for affected farmers. A plan of action will be put in place by end-2018 to ensure fulfilment of this commitment.	2.1 A system will be adopted and published for excluding farmers in the direct supply chain with cocoa production in protected areas		YES		If and when farms are identified, they will be reported, subject to Gvt implementation of international best practices, environmental a social safeguards such as the UN REDD+ safeguards	X	X	cooperatives	A Standard Operating System has been adopted and implemented by Barry Callebaut in conjunction with the cooperatives .	
	2.2 All farms found in National Parks and Reserves will be reported to the Ministry of Water and Forests	% of farms in National Parks and Reserves reported to government	TBD	Company actions are contingent on the Government establishing adequate social safeguards as part of the national forest policy	If and when farms are identified, they will be reported, subject to Gvt implementation of international best practices, environmental a social safeguards such as the UN REDD+ safeguards			X	WCF	A Standard Operating System has been adopted and implemented by Barry Callebaut in conjunction with the cooperatives .
	2.3 Support cocoa farmers' transition to alternative livelihoods via technical assistance for on- and off-farm enterprises	# and % of total farmers in protected areas receiving assistance for alternative livelihoods	TBD	Company actions are contingent on the Government establishing adequate social safeguards as part of the national forest policy	If and when farms are identified, they will be reported, subject to Gvt implementation of international best practices, environmental a social safeguards such as the UN REDD+ safeguards	X	X		BC clients, local Ngos	A partnership to be developed local Ngos and BC clients to implement remediation action for illegal farmers
3. Signatory companies commit to no sourcing of cocoa from National Parks and Reserves through their traceable direct sourcing programs, including farmer organizations and cooperatives, by 1 January 2018. A plan of action will be put in place by January 2018 to ensure fulfilment of this commitment.	3.1 Implement traceability tools/technology to ensure no cocoa purchases originate from National Parks or Reserves (all forest areas)	% of known direct sourced cocoa is traceable to farm-level	100% of known direct source farms		Direct sourcing target in risk areas by August 2019.	X	X	Cooperatives and branches	A traceability system will be set up to track information on farm locations, volumes and sales by the farmer	
4. A differentiated approach based on the level of degradation of forests for the current Classified Forests will be developed by Government and translated into a national forest restoration strategy, by end-2018. The characteristics, the number of hectares, timeline, roles and responsibilities will be progressively specified in the land use plans.	4.1 Support the restoration of Classified Forests by working with cocoa farmers and partnering with SODEFOR and the forestry industry to implement contracts for mixed agroforestry as a restoration and livelihoods intervention	# farmer 'agroforestry restoration' contracts signed	TBD	Company actions are contingent on the Government developing a differentiated approach via a national forest restoration strategy that assigns responsibilities and parameters to the private sector						
		# hectares restored in Classified Forests	TBD							
5. A legal protection and management status will be given by Government to the remaining forests of Côte d'Ivoire in the Rural Zone, in particular to the sacred forests by 30 June 2018.	5.1 Cooperate with Ministry of Water and Forests on enforcement to prevent deforestation in the legally protected forest estate (rural domain)	hectares of forest in rural domain protected	100%, dependent on Govt policy toward forest protection in supply chain	Company action subject to the Government granting legal protection and management status to the remaining forests of Côte d'Ivoire in the Rural Zone	Barry Callebaut will fully cooperate with Government on forest protection and enforcement measures throughout action plan period and beyond.					
<b>Forest Protection and Restoration Actions</b>										
6. Up-to-date maps on forest cover and land-use for the different forests, and socio-economic data on cocoa farmers and their communities who depend on these forests, will be developed and publicly disclosed by Government by 31 December 2018, and detailed operational guidelines by 30 June 2019, with input as relevant from the private sector, civil society organizations, and other stakeholders.	6.1 Support the government's forthcoming adaptive management plans for different forest areas to benefit the livelihoods of forest-dependent cocoa communities	Barry Callebaut actively participates in developing these resources.	YES		Throughout action plan period and beyond.					
	6.2 Participate in the development and operation of land-use and land-use planning at national and regional levels by sharing existing land use maps with government	Barry Callebaut actively participates in developing these resources.	YES			Throughout action plan period and beyond.				
7. Public enforcement of the new Forest Code and its subsequent guidelines, and public sector governance will be strengthened, including, inter alia, awareness raising campaigns, capacity building of forest institutions, stronger surveillance and monitoring, and application of immediate sanctions for any new infringement, as of the date of promulgation of the new Forest Code but no later than June 2018.	7.1 Promote and participate in awareness-raising campaigns to educate farmers on the new Forest Code	# farmers reached at awareness events	20'060	Company action is subject to the revisions of Forest Code decrees	by Dec22	X	X	BC clients, local Ngos		
	7.2 Update farmer engagement materials and training with the revised Forest Code	YES	20060		by Dec22	X	X	BC clients, local Ngos		
8. Public-private collaboration to mobilize more technical and financial resources for forest protection and restoration, including, inter alia, support for innovative financial mechanisms (the appropriate financing mechanisms will be defined in the operational document) to assure Payment of Environmental Services, alternative livelihoods for cocoa farmers affected by land-use changes, implementation of the current government contractualization approach, and development of mixed agro-forestry systems.	8.1 Mobilize finance for forest protection and restoration such as: new financing mechanisms (to be specified), contribution to conservation funds, development of payments for environmental services (PES) schemes	Amount of \$ mobilized towards forest protection and restoration	TBD	Dependent on finalisation and mapping of forest classifications.						
		# of hectares with forest protection and restoration financing	0	The ha target is the total for the program, to which BC contributes.	by Dec22		X	TBD		
9. Public-private collaboration to identify: good practices, technical guidance and incentive mechanisms for forest restoration, and mixed agro-forestry systems. The Government is developing management models for sustainable agro-forestry development, in consultation with all stakeholders.	9.1 Support distribution and planting of multi-purpose trees for on-farm restoration via mixed agroforestry	# of multi-purpose trees distributed for on-farm planting	595782	Company actions assume the timely dissemination of agroforestry management models from the Government will complement other agroforestry models being pursued and not restrict which management models are permissible in Cote d'Ivoire	Dez 22		X	tbd		
		# hectares cocoa agroforestry developed	425 ha, depending on govt policies		Aug 22	X	X	Involving private nurseries		
	9.2 Support distribution and planting of native trees for off-farm restoration (reforestation)	# native trees planted off-farm	TBD	Depending on agreement with government	Dez 22	X	X	tbd		
		# hectares of forest area restored	TBD	Depending on agreement with government	Dez 22		X	tbd		
10. The Government, in collaboration with all stakeholders, will create a public-private fund to support the long-term financing of the protection and restoration of high conservation value forest areas. All stakeholders will be encouraged to support this fund.	10.1 Support the creation of the public-private forest conservation and rehabilitation fund	\$ contributed to fund	TBD	Company action assumes the creation of the public-private forest conservation and rehabilitation fund. Barry Callebaut will consider contribution pending clarity on fund scope, purpose, governance and M&E.						

Forest Protection and Restoration

Action Plan Template – Côte d'Ivoire 2018-2022

Sustainable Production and Livelihoods

Cdi - Framework Language	Company Actions	Target Indicators	# Barry Callebaut financed directly	Risks & Assumptions	Timeline	Implement Directly	Implement via partner	Partner(s)
<b>F4A - Sustainable Production and Livelihoods Commitments (to Joint Actions)</b>								
11. Promote investment in long-term productivity of cocoa in environmentally suitable areas in order to grow "more cocoa on less land," through provision of optimal planting materials, training in good agricultural practices, crop nutrition and soil fertility, crop protection, and development and capacity building of farmers' organizations, are critical enabling factors for sustainable agriculture development.	11.1 Distribute improved cocoa planting material	# improved seedlings distributed to farmers	493'000	Assuming that current Conseil du Café-Cacao policy will expire by end 2019. If it is in place for longer, numbers may be revised down.	Dez 22	x		
	11.2 Establish and/or provide cocoa nurseries with improved cocoa planting material	# of nurseries with improved cocoa seedlings	5 nurseries to be established (Inc. Tiassalé center + 4 regional nurseries)		Dez 22	x		
	11.3 Train farmers and producer organizations in the latest Good Agriculture Practices (GAPs)	# of farmers trained in GAPs	20'060	100% of farmers in direct sourcing are trained on GAP. Total number evolves as farmers join or leave direct sourcing.	Dez 22	x		
	11.4 Support cocoa farm rehabilitation	# of hectares of cocoa rehabilitated	TBD	Dependent on current Conseil du Café-Cacao policy period of implementation.				
12. Promote sustainable livelihoods and income diversification for cocoa farmers, including through food crop diversification, agricultural inter-cropping, development of mixed agro-forestry systems and shade-grown cocoa, and other income generating activities designed to boost and diversify household income.	12.1 Promote farm-level crop diversification	# and % of farmers applying crop diversification	850		Dez 22	X		
	See Company Action 9.1	# of multi-purpose trees distributed for on-farm planting	595'272		Dez 22	X		
		# hectares cocoa agroforestry developed	425	Dependent on govt definition of agroforestry, and density of cacao trees/ha	Dez 22	X		
13. Promote financial inclusion and innovation to deepen farmers' access to working capital and investment funds required for production and farm renovation	13.1 Promote expansion of farmer savings	# and % of farmers in supply chain with a savings account	8500	Financial accounts opened with farmers when engaging financial services with BC.				
		# and % of farmers participating in VSLA groups	> 2000 expected	Associations in form of co-ops etcetera facilitated by Barry Callebaut				
14. Improve supply chain mapping, with the goal of 100% of cocoa sourcing traceable from farm to first purchase point. The private sector, in collaboration with the Government, will develop an action plan for traceability with clear timelines, which will be implemented step-by-step to achieve full traceability and verification, applicable to all by end-2019. Signatory companies are putting in place verifiable monitoring systems for traceability from farm to the first purchase point, operational policies and control systems that effectively monitor the associated risks, including high quality verification.	13.2 Offer financial products to farmers	# and % of farmers offered a financial product	8500	Financial products encompasses life insurance, input credits, etc. Inclusive of reporting in 13.1 in addition to other financial products offered.	Dez 22	X	X	Microfinance institutions, insurance companies
	See Company Action 1.1	# and % farms mapped within direct supply chain	30090	Company actions will benefit from Government collaboration to develop a traceability action plan but it is assumed company actions are not dependent on Government				
	14.2 Implement traceability system to farm level in direct supply chain	% cocoa direct source supply traceable from individual farms to first purchase point	100%					

# Action Plan Template – Côte d'Ivoire 2018-2022

F4A Core  
Commitment

Cdl - Framework Language

Company Actions

Target Indicators

# Barry  
Callebaut  
financed  
directly

Risks & Assumptions

Timeline

Implement  
Directly

Implement via  
partner

Partner(s)

Community Engagement and Social Inclusion

F4A - Community Engagement and Social Inclusion Commitments									
15. Full and effective information sharing, consultation, and informed participation of cocoa farmers and their communities who are affected by proposed land-use changes under the Framework.	15.1 Organize cocoa community consultations on the implementation of the Frameworks for Action	# of communities with consultation sessions and # of participants per community (male/female & <40yrs)	21 30 per community	Mapping results is available	DEC 22	X	X	TBD	
16. Promote community-based management models for forest protection and restoration, including engagement of local communities and farmers in awareness raising campaigns on the status of National Parks and Reserves, and Classified Forests and the critical role that forest plays in climate regulation, soil fertility and water circulation.	16.1 Establish and/or support community-based natural resource management programs for forest restoration/protection	# of cocoa communities with active forest restoration and protection program	21	community commitment	DEC 22	X	X	TBD	
		# of hectares under CBNRM	21	community commitment	DEC 22	X	X	TBD	
17. Development of action plans for forest protection and restoration, and sustainable agricultural intensification that are gender and youth sensitive.	17.1 Develop forest protection & restoration and agriculture intensification action plans that are youth and gender sensitive	# of cocoa communities with gender-focused programs	21	community commitment	DEC 22	X	X	TBD	
		# of cocoa communities with youth-focused programs	21	community commitment	DEC 22	X	X	TBD	
18. For National Parks and Reserves, and Classified Forests, Government will assess and mitigate the social impacts and risks of proposed land-use changes on affected farmers and their communities, and ensure provision of alternative livelihoods and restoration of standard of living of affected parties, in line with reasonable standards to be agreed upon.		Barry Callebaut actively participates in, and supports development of effective government policies and programs.							
19. Government will prepare operational guidelines covering resettlement and livelihood restoration of affected parties by 31 December 2018, with input as relevant from private sector, civil society organizations, and other stakeholders, in line with reasonable standards to be agreed upon.	19.1 Support the development of operational guidelines on resettlement and restoring living standards	Barry Callebaut actively participates in, and supports development of effective government policies and programs.							